

Receipt date: 04/16/2007

10575352 - GAU 1736

ATTORNEY DOCKET NO.

11321-P077WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard E. Smalley et al.

Serial No.: 10/575,352

Filing Date: October 14, 2004

Art Unit: Unknown

Examiner: Unknown

Title: *Amplification of Carbon Nanotubes Via Seeded Growth Methods*

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Foreign references cited in the attached PTO/SB/08A are enclosed for the examiner's reference and copies of the references cited in the attached PTO/SB/08B are also enclosed. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

This Information Disclosure Statement is being filed within three (3) months of these references being cited in a communication from a foreign patent office in a corresponding foreign application.


/Daniel Mccracken/

12/05/2010

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Applicant does not believe that any fees are due at this time; however, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P077WOUS).

Respectfully submitted,



Date: 4/12/07

Robert C. Shaddox
Regis. No. 34,011
ATTORNEY FOR APPLICANT

WINSTEAD SECHREST & MINICK P.C.
P.O. Box 50784
Dallas, Texas 75201
Phone: 713.650.2764
Fax: 214.745.5390

CERTIFICATE OF MAILING

I hereby certify that the attached *Information Disclosure Statement* and cited art are being deposited with the USPS, with sufficient postage as first class mail, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 12th day of April, 2007.

4/12/07
Date

J. E. Mccracken
Signature

906552v.1 11321/P077WOUS

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APR 16 2007

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10575352 - GAU: 1736

PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/575,352
Filing Date	October 14, 2004
First Named Inventor	Richard E. Smalley
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	11321-P077WOUS

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ² *Number ⁴ *Kind Code ⁵ (if known)	MM-DD-YYYY			
	2	WO 03/084869	10/16/03	Rice University		

**Examiner
Signature**

/Daniel McCracken/

Date
Considered

12/05/2010

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. /Daniel Macroeke

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/Daniel Mccracken/

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/575,352
		Filing Date	October 14, 2004
		First Named Inventor	Richard E. Smalley
		Art Unit	Unknown
		Examiner Name	Unknown
Sheet 2 of 2	Attorney Docket Number 11321-P077WOUS		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	STRANO ET AL., "Electronic structure control of single-walled carbon nanotube functionalization", 301 Science (2003), pgs. 1519-1522	
	4	AN ET AL., "Synthesis of nearly uniform single-walled carbon nanotubes using identical metal-containing..", 124 J. Am. Chem. Soc. (2002), pgs. 13688-13689	
	5	International Preliminary Report on Patentability, (PCTY/US04/034002), dated January 17, 2007	

Examiner Signature	/Daniel Mccracken/	Date Considered	12/05/2010
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